

Atty Dkt. No. ASIL-002CIP
 USSN: 09/707,730

EXPRESS MAIL NO. EV 462738035 US

**REQUEST FOR
 CORRECTED FILING
 RECEIPT**

Address to:
 Mail Stop
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Attorney Docket	ASIL-002CIP
Applicant	HILL, G. CRAIG
Serial Number	09/707,730
Filing Date	November 6, 2000
Group Art Unit	1647
Examiner Name	LANDSMAN, ROBERT S.
Title:	"COMPOUNDS FOR INTRACELLULAR DELIVERY OF THERAPEUTIC MOIETIES TO NERVE CELLS"

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) Please correct the inventor's name to read "**GORDON CRAIG HILL**" as indicated on the Declaration as filed on March 16, 2001 and the marked-up Official Filing Receipt enclosed herewith.

It is believed that no fee is due since the error was made by the Patent and Trademark Office. However, the Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.20, which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815 order number ASIL-002CIP.

Respectfully submitted,
 BOZICEVIC, FIELD & FRANCIS LLP

Date:

Sept 28, 2004

By:

Carol L. Francis
 Carol L. Francis
 Registration No. 36,513

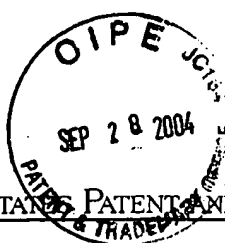
BOZICEVIC, FIELD & FRANCIS LLP
 1900 University Avenue, Suite 200
 East Palo Alto, CA 94303
 Telephone: (650) 327-3400
 Facsimile: (650) 327-3231

RECEIVED

AUG 16 2001



UNITED STATES PATENT AND TRADEMARK OFFICE



XAVOS 116778-104
DJW Page 1 of 3

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/707,730	11/06/2000	1647	456	16778-704		24	3

21971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

CONFIRMATION NO. 8812

CORRECTED FILING RECEIPT



OC00000006416467

DOCKETED DP

Date Mailed: 08/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

~~Orion Hill~~ Stockton, CA;
Stephen B. Kahl, Portola Valley, CA;
Robert R. Webb, Moss Beach, CA;
Constance A. McKee, Woodside, CA;

GORDON CRAIG HILL, STOCKTON, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/217,037 12/21/1998

Foreign Applications

If Required, Foreign Filing License Granted 01/19/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Compounds for intracellular delivery of therapeutic moieties to nerve cells

Preliminary Class

514

Data entry by : EVANS, ELISHA

Team : OIPE

Date: 08/13/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

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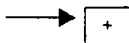
PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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PTO/SB/01 (12-97)

Approved use through 9/30/00.OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)	Attorney Docket Number	16778-704
	First Named Inventor	Hill, et al.
	COMPLETE IF KNOWN	
	Application Number	09/707,730
	Filing Date	November 6, 2000
	Group Art Unit	1635
	Examiner Name	Not Yet Assigned



As a below named Inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**COMPOUNDS FOR INTRACELLULAR DELIVERY OF THERAPEUTIC MOIETIES
TO NERVE CELLS**

(Title of the Invention)

the specification of which
☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) 11/06/00 as United States Application Number or PCT International Application Number 09/707,730 and was amended on (MM/DD/YYYY) ☐ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto:


I hereby claim the benefit under 35 U.S.C. 119(h) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

(Page 1 of 3)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

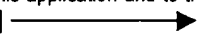
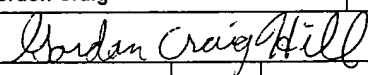
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
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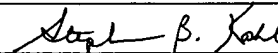
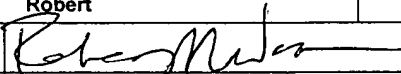
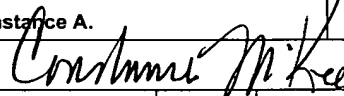
DECLARATION — Utility or Design Patent Application							
<p>I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of the application is not designated in the prior United States or PCT international application in the manner provided by the first paragraph of 31 U.S.C. 112. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.</p>							
U.S. Parent Application or PCT Parent Number				Parent Filing Date (MM/DD/YYYY)		Parent Patent Number (if applicable)	
09/217,037				December 21, 1998			
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.							
<p>As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: <input checked="" type="checkbox"/> Customer Number 021971 </p> <div style="text-align: center; margin: 5px 0;">OR</div> <p><input type="checkbox"/> Registered practitioner(s) name/registration number listed below</p>							
Name		Registration Number		Name		Registration Number	
<input type="checkbox"/> Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.							
<p>Direct all correspondence to: <input type="checkbox"/> Customer Number or Bar Code Label OR <input checked="" type="checkbox"/> Correspondence address below</p>							
Name		David J. Weitz					
Address		Wilson Sonsini Goodrich & Rosati					
Address		650 Page Mill Road					
City		Palo Alto		State		CA	
Country		U.S.		Telephone		650-493-9300	
				Fax		650-493-6811	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>							
Name of Sole or First Inventor:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Gordon Craig				Hill			
Inventor's Signature						Date	
						3/15/01	
Residence: City		Stockton		State		CA	
				Country		US	
Post Office Address		1542 Cortez Avenue, Stockton, CA 95209					
Post Office Address							
City		Stockton		State		CA	
				ZIP		95209	
				Country		US	
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>third</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto:							

Please Type a plus sign (+) inside this box 

PTO/SB/02A (3-97)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>3</u> of <u>3</u>
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))				Family Name or Surname					
Stephen B.				Kahl					
Inventor's Signature					Date		3/15/01		
Residence: City		Portola Valley		State		CA		Country	
						US		Citizenship	
								US	
Post Office Address		1 Lerida Court, Portola Valley, CA 94028							
Post Office Address									
City		Portola Valley		State		CA		ZIP	
						94028		Country	
								US	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))				Family Name or Surname					
Robert				Webb					
Inventor's Signature					Date		3/15/01		
City		Moss Beach		State		CA		Country	
						US		Citizenship	
								US	
Post Office Address		802 Stetson Street, Moss Beach, CA 94038							
Post Office Address									
City		Moss Beach		State		CA		ZIP	
						94038		Country	
								US	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))				Family Name or Surname					
Constance A.				McKee					
Inventor's Signature					Date		3/15/01		
City		Woodside		State		CA		Country	
						US		Citizenship	
								US	
Post Office Address		2995 Woodside Road, #400, PMB 309, Woodside, CA 94062-2446							
Post Office Address									
City		Woodside		State		CA		ZIP	
						US		Country	
								US	

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DATE: September 28, 2004

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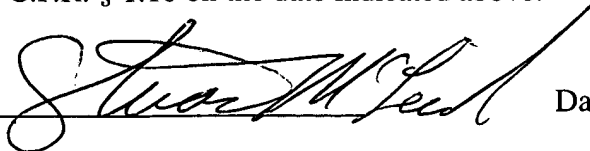
THE EXPRESS MAIL NUMBER OF THE DAY IS: EV462738035US

The person taking the Express Mail to the Post Office is: Stuart McLeish

EXPRESS MAIL CERTIFICATION

Date of Deposit: 09/28/2004 I hereby certify that the below-listed papers or fees were inserted into a package addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 and was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. § 1.10 on the date indicated above.

Signature



Date

9/28/04

Atty. Docket No.	Serial Number	Description	Atty.	Fee
CRMD-005	10/792,679	Transmittal, Fee Sheet <i>in duplicate</i> , Petition for a 2 Month Extension of Time, Copy of Notice to File Missing Parts, Supplemental ADS, IDS, SB08A, Copies of (6) Cited References	CML	\$714
STAN-298	10/856,185	Transmittal, Fee Sheet <i>in duplicate</i> , Copy of Notice to File Missing Parts, Supplemental ADS, Executed Declaration	PJS	\$65
AGYT-042	10/430,730	Transmittal, IDS, SB08A, (8) Cited References	PJS	
LIFE-052	10/008,472	Notice of Appeal <i>in duplicate</i>	CML	\$440
LIFE-041CON	10/431,668	Transmittal, PTOL-85 <i>in duplicate</i> , Amendment under 1.312	CML	\$1,630
GTIN-001	10/925,205	Transmittal, IDS, SB08A, (2) Cited References	PJS	
ASIL-002CIP	09/707,730	Transmittal, Fee Sheet <i>in duplicate</i> , Amendment, IDS, SB08A, (22) cited References, Complete Copy of IDS as Filed 05/20/03 w/Return Receipt Postcard	CLF	\$180
ASIL-002CIP	09/707,730	Request for Corrected OFR, Supplemental ADS, Marked-Up OFR, Copy of Declaration as Filed	CLF	